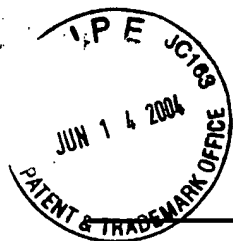


IFW



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
Norman GILMORE

Application No.: 10/800,270

Examiner: Not yet assigned

Filed: March 12, 2004

Group Art Unit: 2123

Attorney Docket No.: 01030-1002

For: FINANCIAL MODELING AND FORECASTING SYSTEM

Assistant Commissioner for Patents
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

This Information Disclosure Statement is submitted:

- ☒ Under 37 CFR § 1.97(b): (1) Within three months of filing of national application; (2) Within three months of date of entry of the national stage as set forth in § 1.491 in an international application; (3) Before mailing of a first Office action on the merits; or (4) Before mailing of a first Office action after the filing of a request for continued examination under § 1.114.
- ☐ Under 37 CFR § 1.97(c): After the period specified in § 1.97(b) but before the mailing date of either a final action under § 1.113 or a notice of allowance under § 1.311, whichever occurs first, and accompanied by either:
- ☐ (1) A statement under § 1.97(e) as set forth below, or
 - ☐ (2) A \$240.00 fee under 37 CFR 1.17(p).
- ☐ Under 37 CFR § 1.97(d): After the period specified in § 1.97(c) but on or before payment of the issue fee, and accompanied by:
- ☐ (1) A statement under § 1.97(e) as set forth below,
 - ☐ (2) A petition requesting consideration of the IDS, and
 - ☐ (3) A \$130.00 petition fee set forth in 37 CFR 1.17(i)(1).

Statement under 35 CFR § 1.97(e):

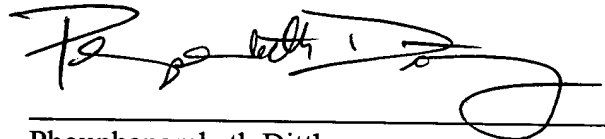
- ☒ Not necessary, because this IDS is filed under § 1.97(b) or § 1.97(c)(2).
- ☐ Each item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS; or
- ☐ No item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in § 1.56(c) more than three months prior to the filing of this IDS.

Form PTO 1449–Information Disclosure Citation is attached with copies of patents, publications, or other information of which Applicant is aware, which may be material to the examination of this application, and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

It is requested that the information disclosed herein be considered and made of record in this application.

Respectfully submitted,

DITTHAVONG & CARLSON, P.C.

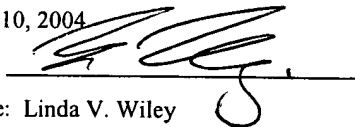


Phouphanomketh Ditthavong
Attorney/Agent for Applicant(s)
Reg. No. 44658

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Alexandria, VA 22313-1450.

Date of Deposit: June 10, 2004

Signature:



Typed or Printed Name: Linda V. Wiley


Date: June 10, 2004

Telephone No.: (703) 425-8508

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Application Number		10/800,270	
				Filing Date		March 12, 2004	
				First Named Inventor		Norman GILMORE	
				Group Art Unit		2123	
				Examiner Name		Not yet assigned	
Sheet	1	of	1	Attorney Docket Number		01030-1002	

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
		2002/0169658		Adler	11/14/2002	
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[illegible]

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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